

Lawyers



Stephanie G. Wheeler

Partner

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Stephanie G. Wheeler is a partner in the Firm's Litigation Group. Prior to joining S&C in 1994, she clerked for the Honorable John C. Lifland of the U.S. District Court for the District of New Jersey (1993-1994). Ms. Wheeler has a broad litigation practice that focuses on white-collar and regulatory investigations and civil litigation.

Ms. Wheeler's civil litigation practice focuses on complex securities, antitrust, intellectual property and commercial litigation. She also has extensive experience representing clients in white collar and regulatory investigations before the Department of Justice, the SEC, the Federal Reserve Bank, the Department of Financial Services, FINRA, the NYAG and numerous other state attorneys general, and foreign bank regulators. Ms. Wheeler is a member of the New York City Bar Association's Women in the Legal Profession: White Collar Crime Subcommittee.

Rankings and Recognitions

- *New York Super Lawyers* – recognized as a leading securities litigator (2006-2012)

SELECTED REPRESENTATIONS

Selected Cases: Securities

- Represented **Goldman Sachs** in multiple civil litigations relating to residential mortgage-backed securities.
- Obtained for **The Boeing Company** a well-publicized dismissal of a putative securities fraud class action related to delays in the first flight of the company's 787 Dreamliner aircraft.
- Represented **Goldman Sachs** in obtaining dismissal of several securities class actions relating to alleged conflicts of interest between equity research and investment banking.

PRACTICES & CAPABILITIES

Litigation

Antitrust

Appellate

Criminal Defense & Investigations

Financial Services

FCPA & Anti-Corruption

Financial Services Investigations & Litigation

Securities

EDUCATION

1993, Harvard Law School, J.D.

1990, University of Virginia, B.A.

BAR ADMISSIONS

New York

CLERKSHIPS

The Honorable John C. Lifland, United States District Court, District of New Jersey, 1993-1994

- Represented the board of directors of **JPMorgan Chase** in the U.S. Court of Appeals for the Second Circuit, achieving affirmance of the dismissal of a shareholder derivative action that alleged breach of fiduciary duty relating to Enron, Worldcom, IPO allocations, alleged research analyst conflicts of interest, mutual fund market timing and other regulatory investigations that resulted in monetary settlements.
- Represented **Furniture Brands** in a shareholder derivative action related to executive compensation issues.
- Represented **Vodafone** in a putative securities class action relating to goodwill writedowns in Vodafone's financial statements. Obtained dismissals of plaintiffs' first and second amended class action complaints, and settled the litigation on terms favorable to Vodafone.
- Represented **KPMG UK** in obtaining dismissal of securities fraud claims relating to the audited financial statements of Lernout & Hauspie Speech Products N.V.

Selected Cases: Investigations

- Representing a financial institution in anti-money laundering and FCPA investigations by multiple prosecutors and regulators around the world.
- Represented **Goldman Sachs** in global settlement of investigations led by DOJ and NYAG relating to residential mortgage-backed securities.
- Represented a financial institution in responding to material examination findings by the Federal Reserve Bank.
- Represented a financial institution in an internal investigation relating to inadvertent disclosure of Confidential Supervisory Information.
- Represented a bank in an SEC investigation relating to auto loan securitizations.
- Represented **Goldman Sachs** in global settlement of industry-wide investigations relating to sales of auction rate securities.
- Represented **Goldman Sachs** in a global settlement of industry-wide investigations into alleged conflicts of interest between equity research and investment banking.
- Represented companies in several insider trading investigations.
- Represented a financial institution that received TARP funds in connection with numerous inquiries from various government agencies, SIGTARP and Congress concerning executive compensation and bonuses.
- Representing a financial institution in U.S. Attorney's Office investigation relating to mortgage foreclosures.
- Represented a financial institution in connection with an

inquiry by the U.S. Senate Permanent Subcommittee on Investigations relating to tax shelter transactions.

- Lead counsel to a clearing broker in market timing investigations by the SEC, NASD and the New York Attorney General.
- Represented a prominent law firm in an investigation brought by Federal Reserve Bank and FDIC for alleged false statements made in connection with a client's regulatory applications.

Selected Cases: Antitrust

- Served as a trial lawyer defending **Microsoft Corporation** in the landmark antitrust trials brought by the U.S. Department of Justice and 19 states and the District of Columbia from 1998 to 2002. Also represented Microsoft in a related antitrust investigation before the European Commission.
- Represented **Wachovia** and **Wells Fargo** in an MDL action alleging a conspiracy to fix prices of municipal derivative transactions.
- Represented **Goldman Sachs** in a putative class action pending against the leading private equity firms alleging a conspiracy to suppress the prices paid for companies being taken private in leveraged buyout transactions.