

SULLIVAN & CROMWELL LLP

Lawyers



Laura Kabler Oswell

Partner

Palo Alto

T. +1-650-461-5600

F. +1-650-461-5700

oswelll@sullcrom.com

Laura Oswell is a partner in the Firm's Litigation Group and a co-head of the Firm's FCPA and Anti-Corruption Group. Ms. Oswell represents companies and individuals in a wide variety of matters, including transaction-related, securities class, derivative, commercial, contract, antitrust and employment disputes. She also represents companies and individuals in matters before the U.S. Securities and Exchange Commission and the U.S. Department of Justice. Within the past three years, Ms. Oswell has tried two cases to verdict, achieving wins for both clients.

Ms. Oswell recently co-led the team at S&C that partnered with Stanford Law School to launch the [Foreign Corrupt Practices Act Clearinghouse](#), a public database that aggregates and curates source documents and provides analytic tools related to enforcement of the FCPA since its enactment.

Speaking Engagements and Publications

- "Corruption, FCPA, and Globalization," Stanford Directors' College, June 26, 2017 (Panelist)
- "International Corruption Enforcement: Recent Developments, Trends and Strategies," All Hands Meeting, November 16, 2016 (Panelist)
- "Third Parties in Merger Challenges," *GC Agenda* (Antitrust section), April 2016 (Interviewee)
- "Meeting clients' expectations in the era of long arm regulation," IBA Group Members' Women's Leadership Summit, February 26, 2016 (Panelist)

Rankings and Recognitions

- Named a "**Future Leader**" by *Who's Who Legal* in partnership with *Global Investigations Review*. (2019)
- Named to *Benchmark Litigation's "40 & Under Hot List,"* a list that recognizes top litigators aged 40 and under. (2018)

PRACTICES & CAPABILITIES

Litigation

Antitrust

FCPA & Anti-Corruption

M&A Litigation

Products Liability & Mass Torts

EDUCATION

2005, Stanford Law School, J.D.

1999, University of Southern California, B.S.

BAR ADMISSIONS

California

- Named the 2018 **Rising Star – Litigation** at Euromoney’s Americas Women in Business Law Awards.
- Recognized in **Who’s Who Legal Investigations**, a guide that outlines the leading firms and lawyers worldwide in investigations work. (2018)
- Named to *Global Investigations Review’s 40 Under 40*, a list that recognizes the world’s leading young investigations specialists. (*Global Investigations Review*, September 2017)
- Named to the *Daily Journal’s “Top 40 Under 40,”* a list that recognizes top lawyers under the age of 40 in California. (*Daily Journal*, April 2017)
- Recognized as a “**Rising Star**” for Trials in *Law360’s* list of lawyers under the age of 40 whose legal accomplishments belie their age. (*Law360*, March 2016)

SELECTED REPRESENTATIONS

Complex Commercial & Securities Matters

- Volkswagen AG and AUDI AG in civil litigation and government investigations relating to the “clean diesel” matters.
- Via Licensing Corporation in separate breach of contract actions brought against Compal Electronics and Pegatron Corporation, which resulted in favorable settlements with both Compal and Pegatron, and ongoing litigation against Hisense International and LG Electronics.
- Goldcorp in obtaining the dismissal of a securities class action.
- Hycroft Mining in an ongoing securities class action suit and a dismissed derivative action.
- The underwriters of Clovis Pharmaceuticals in securities class actions and an opt-out case relating to a secondary offering.
- The underwriters of GoPro in a class action following the company’s IPO.
- The underwriters of TriNet in a class action following a secondary offering.
- The underwriters of the initial public offering of King Digital Entertainment plc in connection with a securities class action lawsuit relating to the offering.
- Enbridge (U.S.) Inc. in a trial of claims brought by Energy Transfer Partners, L.P. After a five-week jury trial in state court in Dallas, Texas, Enbridge was found not liable in a unanimous verdict.
- Enbridge Inc. in litigation relating to a transaction with its U.S. subsidiary.
- Goldman, Sachs & Co. in RMBS matters including claims by

The Charles Schwab Corporation in San Francisco Superior Court and claims by the National Credit Union Association in the Central District of California and Southern District of New York.

Investigations

- A multinational pharmaceutical company in investigations by the Department of Justice and Securities and Exchange Commission regarding Foreign Corrupt Practices Act issues, and in connection with diligence matters relating to two changes of control via acquisitions.
- A multinational financial institution in connection with alleged antitrust violations related to foreign currency trading.
- A multinational automotive company in connection with alleged violations of the Foreign Corrupt Practices Act. The client received a declination from the DOJ and SEC.
- A financial institution in connection with regulatory inquiries regarding capital requirements.

M&A-Related Matters

- Terumo Corporation in its acquisition from Abbott Laboratories and St. Jude Medical, Inc. (SJM) of certain assets and liabilities related to SJM's Angio-Seal™ and FemoSeal™ vascular closure product lines.
- 1st Century Bancshares and former board members in obtaining the dismissal – upheld on appeal – of a putative class action stemming from 1st Century's acquisition by Midland Financial, the holding company of MidFirst Bank. This was the first ruling validating a Delaware forum selection bylaw under California state law.
- Valeant Pharmaceuticals in connection with its proposed acquisition of Allergan.
- Ruckus Wireless in litigation relating to its acquisition by Brocade.
- ABI InBev in connection with regulatory inquiries arising from its merger with SAB Miller
- First Niagara Financial Group, Inc. in litigation relating to its acquisition by KeyBank.
- Kraft Foods Group, Inc. in connection with its merger with H.J. Heinz Holding Corporation, including due diligence related to Foreign Corrupt Practices Act and litigation matters, and regulatory inquiries and shareholder litigation following the announcement of the merger.
- Advance/Newhouse Partnership in connection with the acquisition of Bright House Networks by Charter Communications, Inc. including matters relating to antitrust clearance and review by the Federal Communications Commission.

- Apollo (Mauritius) Holdings Pvt. Ltd., Apollo Tyres B.V. and Apollo Acquisition Corp. in an expedited trial in Delaware Chancery Court regarding its proposed \$2.5 billion merger with Cooper Tire & Rubber Company. After a three day trial – held approximately one month after Cooper filed its complaint – the Court found that Apollo had not breached the merger agreement.
- Lone Star Funds in federal and expedited Delaware Chancery Court litigation arising out of its acquisition of Accredited Home Lenders.
- Caterpillar in litigation brought by Terex in New York State Supreme Court in connection with a post-closing dispute in a 2010 acquisition of Terex’s mining equipment business.