

Financial Services

A Multidisciplinary Team for an Evolving Industry

Our position as the leading U.S. financial services practice is built on the trust our clients put in us when we handle their most important and complex matters and on our history of being at the leading edge of financial services regulation and innovation in the industry.

Our practice is distinguished by its industry focus and cross-disciplinary approach - unique among our peers. Our financial services lawyers work on an integrated basis on matters involving the full range of our clients' needs, such as [mergers and acquisitions](#), [bank regulation](#), [capital markets](#), [digital assets](#), [fintech](#), [economic sanctions and financial crime](#), [commodities, futures and derivatives](#), [consumer finance](#), and [investigations and litigation](#). Our approach has been developed to provide the best client service and, specifically, to mitigate the risk of "blind spots" created by a more traditionally siloed practice.

"Sullivan & Cromwell is head and shoulders above everybody else. A select number of law firms can play in this space and they are our go-to."

— *Chambers USA*

CHAMBERS USA

Band #1

S&C's
Financial
Services
Group
Ranking

- Financial Institutions M&A
- Financial Services Regulation—
Compliance
- Financial Services Regulation—
Enforcement & Investigations

We serve a wide range of financial institutions of all sizes, including both U.S. and non-U.S. firms, fintech and other startups, and a number of financial services industry groups. Clients routinely cite as differentiating from other law firms our commitment to client service, our experience in financial services regulation and our ability to synthesize issues across multiple disciplines to find solutions, while staying attuned to commercial realities.

PRACTICES & CAPABILITIES

General Practice

Capital Markets

Commercial Real Estate

Corporate Governance

Credit & Leveraged Finance

Environmental, Social and Governance (ESG)

Environmental Transactions

Executive Compensation

Financial Services

- Bank Regulatory
- Broker-Dealer
- Commodities, Futures &
Derivatives
- Corporate Governance
- Digital Assets
- Economic Sanctions and
Financial Crime
- Exchange/Alternate Trading
Systems
- Financial Services Capital
Markets
- Financial Services
Investigations & Litigation
- Financial Services Mergers
& Acquisitions
- FinTech
- Insurance
- Investment Management
- Payments



Banks



Broker-Dealers



Traders and
Platforms



FinTechs



Insurance
Companies



Investment
Managers

Foreign Investments and
Trade Regulations

Intellectual Property &
Technology Transactions

Mergers & Acquisitions

Project Development &
Finance

Restructuring

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Recent Client Highlights

- S&C Advises Citizens Financial in Acquisition of Investors Bancorp
- S&C Advises BNP Paribas on \$16.3 Billion Sale of Bank of the West
- FT Recognizes S&C for Innovation for 'Delivering a Lifeline' to Venezuelan Health Workers
- S&C Advises FTX in Acquisition of Regulated Crypto Exchange LedgerX
- S&C Advises Columbia Banking System in \$8.2 Billion Combination with Umpqua

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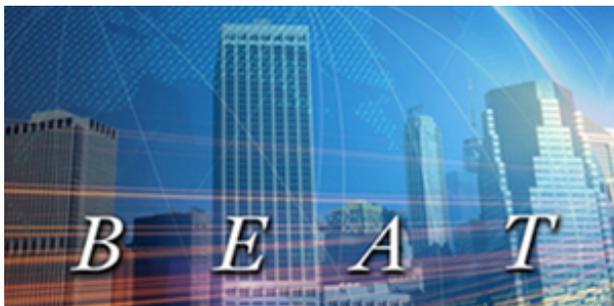
Recent Insights

- Episode 2: Implications of SEC's Proposed Climate-Related Disclosure Rules
- Rodge Cohen Discusses Bank Consolidation on *Bank Director's 'Slant'* Podcast
- Episode 1: Implications of SEC's Proposed Climate-Related Disclosure Rules
- White House Issues Roadmap to Address Climate-Related Financial Risk
- Rodge Cohen Discusses Bank and Cryptocurrency Regulation on Bloomberg TV
- Rebecca Simmons Speaks at ISDA Legal Opinion Developments Conference
- Katy McArthur Speaks at Women on Wall Street Virtual Summit

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S&C's Banking Enforcement Actions Tracker



The Banking Enforcement Actions Tracker—or BEAT—is a web-based tool that aggregates and displays data pertaining to significant enforcement actions from January 1, 2008 to the present against banking

organizations by the federal banking agencies and select other federal and

state agencies.

[Click Here to Visit BEAT](#)